

TAD 2812

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1387 Alexandria, VA 22313-1450, on the below date: Date: March 3, 2006

Name: Anthony P. Curtis, Ph.D., 46,193 Signature:

BRINKS HOFER GILSON ΛE

In re	IN Appln. of:			STATES PATI ow et al.	ENT AND	TR	RADE	MARK O	FFI	CE	&LION
Appln. No.: 10/531,493			Examiner: Not				Not yet	assigned			
Filed: April 15, 2005								Art Un	it:	2812	
For: Integrated Circuit Arrangement Have Capacitors And Having Planar Transand A Fabrication Method							s				
Attor	ney Docket	t No:	1080	8/234							
Comm P. O. I	Stop AMENDN nissioner for F Box 1450 ndria, VA 223	Patents					TI	RANSN	11T	TAL	
	had ia/ara										
⊠	ched is/are:  Transmittal Cover Letter (1p. Filed in Dup.); Supplemental Information Disclosure Statement and PTO Form 1449 (3pp.); 1 cited references										
$\boxtimes$	Return Rece										
Fee c	alculation:										
	No additiona	ıl fee is re	quired.								
	Small Entity.										
	An extension	n fee in ar	n amour	nt of \$ for a _	mont	h ext	ensior	of time un	der 3	37 C.F.R.	§ 1.136(a).
	A petition or	processir	ng fee ir	n an amount of \$	under :	37 C.	.F.R. §	1.17(	_).		
	An additiona	ıl filing fee	has be	een calculated as sh	nown below	v:					
							Sma	II Entity	Not a Small Er		mall Entity
	Claims Rer After Ame			Highest No. Previously Paid For	Present Extra	Ra	ate	Add'l Fee	or	Rate	Add'l Fee
Total			Minus	• • • • • • • • • • • • • • • • • • • •			x \$25=			x \$50=	
Indep.			Minus				x 100=			x \$200=	
First P	resentation of N	Multiple De	p. Claim		<u> </u>	+	·\$180=			+ \$360=	
							Total	\$		Total	\$
Fee p	ayment:										
	A check in the amount of \$ is enclosed.										
	Please charge Deposit Account No. 23-1925 in the amount of \$ . A copy of this Transmittal is enclosed for this purpose.										
	Payment by	credit car	d in the	amount of \$	(Form PTC	D-203	38 is a	ttached).			
⊠	The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.										

Respectfully submitted.

Anthony P. Curtis, Rh.D. (Reg. No. 46,193)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

rre Appln. of: Ralf Brederlow ET AL.

Appln. No.:

10/531,493

Filed:

April 15, 2005

For:

INTEGRATED CIRCUIT
ARRANGEMENT HAVING
CAPACITORS AND HAVING
PLANAR TRANSISTORS AND A

**FABRICATION METHOD** 

Attorney Docket No: 10808/234

Examiner: Not yet assigned

Art Unit: 2812

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

No.	Date of Publication	Patentee/Applicant/Assignee				
6,462,364 B1	October 8, 2002	Horiuchi				
6,462,723 B1	October 8, 2002	Yamazaki et al.				
OTHER ART – NON PATENT LITERATURE DOCUMENTS						
Copy of International Examination Report from corresponding PCT application no.						
PCT/DE2003/03354.						

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

Anthony P. Curtis, Ph.D.

(Rég. No. 46,193)

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FORM PTO-1449 \	SERIAL NO.	CASE NO.
OF TRADEMAKE	10/531,493	10808/234
LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP ART UNIT
APPLICANT'S INFORMATION DISCLOSURE	April 15, 2005	2812
STATEMENT	<u></u>	
(use several sheets if necessary)	APPLICANT(S): Ralf Brederlow	et al.

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	B1	6,462,364 B1	October 8, 2002	Horiuchi		
	B2	6,462,723 B1	October 8, 2002	Yamazaki et al.		
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
-					

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS  (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.					
	B3	Copy of International Examination Report from corresponding PCT application no. PCT/DE2003/03354.				

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.